

On the extension of Whitney ultrajets II

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General notions

- (*) E will always denote a compact set in \mathbb{R}^n .
- (*) j_E^∞ is the jet mapping sending a smooth function to the infinite jet consisting of its partial derivatives of all orders (restricted to E).
- (*) Let $\omega : [0, +\infty) \rightarrow [0, +\infty)$ be a weight function (satisfying some basic growth properties), let $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$ be the associated space of ultradifferentiable functions f on \mathbb{R}^n ; i.e. the growth of $(\|f^{(\alpha)}\|_{L^\infty(\mathbb{R}^n)})_{\alpha \in \mathbb{N}^n}$ is regulated in terms of ω . (The letter \mathcal{B} emphasizes that the bounds are global in \mathbb{R}^n .)
- (*) $\mathcal{B}^{\{\omega\}}(E)$ shall denote the space of jets on $E \subseteq \mathbb{R}^n$ (with a growth rate regulated by ω), so-called **ultrajets**.

Characterization result for preserving the class - Bonet/Braun/Meise/Taylor '91

Theorem

Let ω be a weight function (with some standard growth properties), then TFAE:

- (i) For every compact $E \subseteq \mathbb{R}^n$ the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega\}}(E)$ is surjective.
- (ii) ω is **strong**, i.e., $\int_1^\infty \frac{\omega(tu)}{u^2} du \leq C\omega(t) + C$ for all $t > 0$ and some $C > 0$.

Remark: This result holds true for the so-called Beurling case $\mathcal{B}^{(\omega)}$ as well (method there: using a reduction to the Roumieu case).

Known results I

Take ω such that $\int_1^\infty \frac{\omega(t)}{t^2} dt < +\infty$, let σ be another weight function.

Question: Under which conditions is j_E^∞ defined on $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$ surjective onto $\mathcal{B}^{\{\sigma\}}(E)$ for all compact sets $E \subseteq \mathbb{R}^n$?

- (i) Bonet, Meise, and Taylor '92 - for the one-point set $E = \{0\}$
- (ii) Langenbruch '94 - for compact **convex** E

The mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\sigma\}}(E)$ is surjective if and only if

$$\exists C > 0 \forall t \geq 0 : \int_1^\infty \frac{\omega(tu)}{u^2} du \leq C\sigma(t) + C. \quad (1)$$

(1) is the **mixed** strong non-quasianalyticity condition for weight functions.

Question: What can be said about general compact sets $E \subseteq \mathbb{R}^n$?

Known results II

First answer (Rainer/S. '17):

Theorem

Let ω be a non-quasianalytic *concave* weight function.

Let σ be a weight function satisfying $\sigma(t) = o(t)$ as $t \rightarrow \infty$ and $\mathcal{S} = \{S^x : x > 0\}$, the matrix associated with σ , has the *good property*.

Then the following conditions are equivalent:

- (i) For every compact $E \subseteq \mathbb{R}^n$ the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\sigma\}}(E)$ is surjective.
- (ii) There is $C > 0$ such that $\int_1^\infty \frac{\omega(tu)}{u^2} du \leq C\sigma(t) + C$ for all $t > 0$.

Known results III

For the proof we have had to assume the following three additional conditions:

- (i) ω is **concave**: Each strong weight function is equivalent to a concave one by Meise, and Taylor '88.
- (ii) $\sigma(t) = o(t)$ as $t \rightarrow \infty$: Any strong weight function has this property.
- (iii) **(!!!)** The weight matrix $\mathcal{S} = \{S^x : x > 0\}$ associated with σ satisfies the **"good property"**:

$$\forall x > 0 \exists y > 0 \exists C \geq 1 \forall 1 \leq j \leq k : \frac{S_j^x}{j S_{j-1}^x} \leq C \frac{S_k^y}{k S_{k-1}^y}.$$

Using the weight matrix notation we have (Rainer, S. '14):

$$\mathcal{B}^{\{\sigma\}}(\mathbb{R}^n) = \varinjlim_{x>0} \mathcal{B}^{\{S^x\}}(\mathbb{R}^n).$$

Questions and main goal

Main goal: Get rid of the additional and undesired assumption that \mathcal{S} has the good property - or see that this property is not "exotic", more precisely we have asked:

- (*) Is every concave weight function (equivalent to) a good one?
- (*) Is every strong weight function (equivalent to) a good one?

Note: Concavity and the **good property** are not invariant under equivalence, it will be enough to require these properties up to equivalence of weight functions, i.e. $\omega_1(t) = O(\omega_2(t))$, $\omega_2(t) = O(\omega_1(t))$ as $t \rightarrow +\infty$ and write $\omega_1 \sim \omega_2$.

Questions and main goal - some hope

Theorem

Let ω be a weight function and let $\mathcal{W} = \{W^x : x > 0\}$ be the associated weight matrix, set $w_k^x := \frac{W_k^x}{k!}$, then TFAE:

- (i) ω is equivalent to its least concave majorant.
- (ii) $\forall x > 0 \exists y > 0 \exists D \geq 1 \forall 1 \leq j \leq k : (w_j^x)^{1/j} \leq D(w_k^y)^{1/k}$.

If \mathcal{W} does have the *strange growth property* (of Roumieu type)

$$\forall x > 0 \exists y > 0 \exists C \geq 1 \forall k \in \mathbb{N}_{\geq 1} : \frac{W_k^x}{W_{k-1}^x} \leq C(W_k^y)^{1/k},$$

then ω is good if and only if it is equivalent to its least concave majorant.

Some comments on the proof of the main theorem I

We have combined methods/techniques from BBMT '91 and Chaumat, and Chollet '94, more precisely we have

- (* generalized special cut-off functions as constructed in BBMT '91 to a mixed setting,
- (* combined the resulting partition of unity $\{\varphi_i\}_i$ subordinate to a collection of *Whitney cubes* $(Q_i)_i$ with centers $(x_i)_i$ with the technique of Ch./Ch. '94 - *mixed weight sequence setting* (based on an extension method of Dynkin '80),
- (* that the extension of an ultrajet $F \in \mathcal{B}^{\{\sigma\}}$ is defined as a linear combination

$$\sum_i \varphi_i T_{\hat{x}_i}^{p(x_i)} F$$

of Taylor polynomials, where the degree $p(x_i)$ depends on the distance of x_i to E and $\hat{x}_i \in E$ realizes this distance.

Some comments on the proof of the main theorem II

- (*) The dependence of p is given through a counting function corresponding to the sequences in $\mathcal{S} = \{S^x :> 0\}$, the **good property** is only needed for this step.
- (*) We have worked with **two** counting functions, generalizing the technique of Ch./Ch. '94.
- (*) This has become necessary in order to exploit the fact that we can work with the matrix \mathcal{S} associated with σ . Because:
- (*) In general some/each S^x will NOT have the property that $j \mapsto \frac{S_j^x}{jS_{j-1}^x}$ is increasing, i.e. S^x will be in general NOT **strongly** log-convex (as assumed in Ch./Ch. '94).
- (*) Finally we can not expect that some/each S^x will have condition (mg) - but this failure has been compensated by mixed (mg)-conditions which are satisfied automatically in this framework.

Weight functions

By a **weight function** we mean a continuous increasing function $\omega : [0, \infty) \rightarrow [0, \infty)$ with $\omega(0) = 0$ and $\lim_{t \rightarrow \infty} \omega(t) = \infty$ that satisfies

$$\omega(2t) = O(\omega(t)) \quad \text{as } t \rightarrow \infty, \quad (2)$$

$$\omega(t) = O(t) \quad \text{as } t \rightarrow \infty, \quad (3)$$

$$\log t = o(\omega(t)) \quad \text{as } t \rightarrow \infty, \quad (4)$$

$$\varphi_\omega(t) := \omega(e^t) \text{ is convex.} \quad (5)$$

A weight function is called **non-quasianalytic** if

$$\int_0^\infty \frac{\omega(t)}{1+t^2} dt < \infty. \quad (6)$$

For each ω there is an equivalent $\tilde{\omega}$ such that $\omega(t) = \tilde{\omega}(t)$ for large $t > 0$ and $\tilde{\omega}|_{[0,1]} = 0$ (normalization).

The *Young conjugate* φ_ω^* of φ_ω is defined by

$$\varphi_\omega^*(t) := \sup_{s \geq 0} \{st - \varphi_\omega(s)\}, \quad t \geq 0.$$

Assuming $\omega|_{[0,1]} = 0$, we have that φ_ω^* is a convex increasing function satisfying $\varphi_\omega^*(0) = 0$, $t/\varphi_\omega^*(t) \rightarrow 0$ as $t \rightarrow \infty$, and $\varphi_\omega^{**} = \varphi_\omega$.

With each normalized ω we associate a **weight matrix** $\mathcal{W} = \{W^x : x > 0\}$ by

$$W_k^x := \exp\left(\frac{1}{x}\varphi_\omega^*(xk)\right).$$

The space $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$

Let ω be a weight function and $l > 0$. Consider the Banach space

$$\mathcal{B}_l^\omega(\mathbb{R}^n) := \{f \in \mathcal{C}^\infty(\mathbb{R}^n) : \|f\|_l^\omega < \infty\},$$

where

$$\|f\|_l^\omega := \sup_{x \in \mathbb{R}^n, \alpha \in \mathbb{N}^n} \frac{|f^{(\alpha)}(x)|}{\exp(\frac{1}{l} \varphi_\omega^*(l|\alpha|))},$$

and the inductive limit

$$\mathcal{B}^{\{\omega\}}(\mathbb{R}^n) := \varinjlim_{l \in \mathbb{N}_{>0}} \mathcal{B}_l^\omega(\mathbb{R}^n).$$

For weight functions ω and σ we have $\mathcal{B}^{\{\omega\}} \subseteq \mathcal{B}^{\{\sigma\}}$ if and only if $\sigma(t) = O(\omega(t))$ as $t \rightarrow \infty$.

Weight sequences

Let $\mu = (\mu_k)_k$ be a positive increasing sequence with $1 = \mu_0$, the sequences $M = (M_k)_k$ and $m = (m_k)_k$ are defined by

$$\mu_0 \mu_1 \mu_2 \cdots \mu_k = M_k = k! \cdot m_k.$$

We call M a *weight sequence*, if $M_k^{1/k} \rightarrow \infty$ as $k \rightarrow \infty$.

- (i) M is log-convex,
- (ii) $(M_k)^{1/k} \leq \mu_k$ follows,
- (iii) but M is NOT assumed necessarily to be **strongly log-convex**,
i.e. m is log-convex resp. $j \mapsto \frac{M_j}{jM_{j-1}} = \frac{\mu_j}{j}$ is increasing.

Each W^\times is a weight sequence but NOT strongly log-convex in general.

The space $\mathcal{B}^{\{M\}}(\mathbb{R}^n)$

Let $M = (M_k)$ be a weight sequence and $\varrho > 0$. We consider the Banach space

$$\mathcal{B}_\varrho^M(\mathbb{R}^n) := \{f \in \mathcal{C}^\infty(\mathbb{R}^n) : \|f\|_\varrho^M < \infty\},$$

where

$$\|f\|_\varrho^M := \sup_{x \in \mathbb{R}^n, \alpha \in \mathbb{N}^n} \frac{|f^{(\alpha)}(x)|}{\varrho^{|\alpha|} M_{|\alpha|}},$$

and the inductive limit

$$\mathcal{B}^{\{M\}}(\mathbb{R}^n) := \varinjlim_{\varrho \in \mathbb{N}_{>0}} \mathcal{B}_\varrho^M(\mathbb{R}^n).$$

Traditionally, $\mathcal{B}^{\{M\}}(\mathbb{R}^n)$ is called a *Denjoy–Carleman class* (of Roumieu type).

Beurling-type classes

If we consider the projective limits

$$\mathcal{B}^{(\omega)}(\mathbb{R}^n) := \varprojlim_{l \in \mathbb{N}_{>0}} \mathcal{B}_{1/l}^{\omega}(\mathbb{R}^n),$$

resp.

$$\mathcal{B}^{(M)}(\mathbb{R}^n) := \varprojlim_{\varrho \in \mathbb{N}_{>0}} \mathcal{B}_{1/\varrho}^M(\mathbb{R}^n),$$

then we obtain the ultradifferentiable classes of *Beurling-type*.

The connection between $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$ and $\mathcal{B}^{\{M\}}(\mathbb{R}^n)$

Theorem

Let ω be a weight function, then, as locally convex spaces,

$$\mathcal{B}^{\{\omega\}}(\mathbb{R}^n) = \varinjlim_{x>0} \mathcal{B}^{\{W^x\}}(\mathbb{R}^n) = \varinjlim_{x>0} \varinjlim_{\rho>0} \mathcal{B}_\rho^{W^x}(\mathbb{R}^n).$$

$$\mathcal{B}^{(\omega)}(\mathbb{R}^n) = \varprojlim_{x>0} \mathcal{B}^{(W^x)}(\mathbb{R}^n) = \varprojlim_{x>0} \varprojlim_{\rho>0} \mathcal{B}_\rho^{W^x}(\mathbb{R}^n).$$

More generally, a **weight matrix** $\mathcal{M} := \{M^x : x > 0\}$ is a family of weight sequences such that $M^x \leq M^y$ for all $x \leq y$. We put

$$\mathcal{B}^{\{\mathcal{M}\}}(\mathbb{R}^n) := \varinjlim_{x>0} \mathcal{B}^{\{M^x\}}(\mathbb{R}^n)$$

and

$$\mathcal{B}^{(\mathcal{M})}(\mathbb{R}^n) := \varprojlim_{x>0} \mathcal{B}^{(M^x)}(\mathbb{R}^n).$$

Whitney ultrajets I

We denote by $\mathcal{J}^\infty(E)$ the vector space of all jets
 $F = (F^\alpha)_{\alpha \in \mathbb{N}^n} \in \mathcal{C}^0(E, \mathbb{R})^{\mathbb{N}^n}$ on E .

For $a \in E$ and $p \in \mathbb{N}$ we associate the Taylor polynomial

$$T_a^p : \mathcal{J}^\infty(E) \rightarrow \mathcal{C}^\infty(\mathbb{R}^n, \mathbb{R}), \quad F \mapsto T_a^p F(x) := \sum_{|\alpha| \leq p} \frac{(x-a)^\alpha}{\alpha!} F^\alpha(a),$$

and the remainder $R_a^p F = ((R_a^p F)^\alpha)_{|\alpha| \leq p}$ with

$$(R_a^p F)^\alpha(x) := F^\alpha(x) - \sum_{|\beta| \leq p-|\alpha|} \frac{(x-a)^\beta}{\beta!} F^{\alpha+\beta}(a), \quad a, x \in E.$$

Whitney ultrajets II

Let M be a weight sequence. For fixed $\varrho > 0$ we denote by $\mathcal{B}_\varrho^M(E)$ the set of all jets F such that there exists $C > 0$ with

$$|F^\alpha(a)| \leq C \varrho^{|\alpha|} M_{|\alpha|}, \quad \alpha \in \mathbb{N}^n, \quad a \in E,$$

$$|(R_a^p F)^\alpha(b)| \leq C \varrho^{p+1} M_{p+1} \frac{|b-a|^{p+1-|\alpha|}}{(p+1-|\alpha|)!} \quad p \in \mathbb{N}, \quad |\alpha| \leq p, \quad a, b \in E.$$

We define

$$\mathcal{B}^{\{M\}}(E) := \lim_{\varrho \in \mathbb{N}_{>0}} \mathcal{B}_\varrho^M(E).$$

$F \in \mathcal{B}^{\{M\}}(E)$ is called a *Whitney ultrajet of class $\mathcal{B}^{\{M\}}$* on E .

Whitney ultrajets III

Let ω be a weight function, $\mathcal{W} = \{W^x : x > 0\}$ the associated weight matrix. A jet F is said to be a *Whitney ultrajet* of class $\mathcal{B}^{\{\omega\}}$ on E if $F \in \mathcal{B}^{\{W^x\}}(E)$ for some $x > 0$.

We set

$$\mathcal{B}^{\{\omega\}}(E) = \mathcal{B}^{\{\mathcal{W}\}}(E) := \lim_{x \rightarrow 0} \mathcal{B}^{\{W^x\}}(E) = \lim_{x \rightarrow 0} \lim_{\varrho > 0} \mathcal{B}_{\varrho}^{W^x}(E).$$

This definition coincides with the one given in BBMT '91.

Remarks on condition (mg)

M is said to have *moderate growth* (write (mg)), if

$$\exists C \geq 1 \forall j, k \in \mathbb{N}: M_{j+k} \leq C^{j+k} M_j M_k.$$

We know:

- (i) ω has $\exists H \geq 1 \forall t \geq 0: 2\omega(t) \leq \omega(Ht) + H$ if and only if some (equivalently each) W^x has (mg).
- (ii) But this happens if and only if $W^x \approx W^y$ for all $x, y > 0$. Hence the classes defined by ω and M do coincide.
- (iii) There exist many equivalent reformulations of (mg), e.g. M has (mg) if and only if

$$\exists C \geq 1 \forall k \in \mathbb{N}_{>0}: \mu_k \leq C(M_k)^{1/k}. \quad (!!!)$$

- (iv) For any weight ω the associated matrix \mathcal{W} satisfies generalized/mixed (mg)-conditions (by convexity of φ_ω^*), but the generalization of (iii) is not clear in general!

Associated weight functions

Let $m = (m_k) \in \mathbb{R}_{>0}^{\mathbb{N}}$ with $m_0 = 1$ and $m_k^{1/k} \rightarrow \infty$ (**but not necessarily log-convex**). To have this for some/each w^x , $\omega(t) = o(t)$ as $t \rightarrow +\infty$ is required.

Consider the well-defined *associated weight function*

$$\omega_m(t) := \sup_{k \in \mathbb{N}} \log \left(\frac{t^k}{m_k} \right), \quad t > 0, \quad \omega_m(0) := 0.$$

The *log-convex minorant* of m is given by

$$\underline{m}_k := \sup_{t > 0} \frac{t^k}{\exp(\omega_m(t))}.$$

Moreover, in this situation, let

$$h_m(t) := \inf_{k \in \mathbb{N}} t^k m_k, \quad t > 0, \quad h_m(0) := 0,$$

i.e. $h_m(t) = \exp(-\omega_m(1/t))$.

Counting functions and their technical problems I

Idea goes back to Dynkin '80 - and then used in Ch./Ch. '94. We define:

$$\bar{\Gamma}_m(t) := \min \{k : h_m(t) = m_k t^k\}, \quad t > 0,$$

and, provided that $m_{k+1}/m_k \rightarrow \infty$,

$$\underline{\Gamma}_m(t) := \min \left\{ k \in \mathbb{N} : \frac{m_{k+1}}{m_k} \geq \frac{1}{t} \right\}, \quad t > 0.$$

- (i) We want to work with sequences w^x (in general they will be NOT log-convex).
- (ii) If m is log-convex, then $\bar{\Gamma}_m = \underline{\Gamma}_m$ - write Γ_m - (as in Ch./Ch. '94).
- (iii) **Central new idea:** We have worked with both counting functions simultaneously.

Counting functions and their technical problems II

We always have $\underline{\Gamma}_m(t) \leq \bar{\Gamma}_m(t)$ for all $t > 0$. The proof requires a converse estimate as well - if m is log-convex, then O.K.

Lemma

Let M, N be weight sequences satisfying $m_k^{1/k} \rightarrow \infty, n_k^{1/k} \rightarrow \infty$. Assume that

$$\exists C \geq 1 \forall 1 \leq j \leq k: \quad \frac{\mu_j}{j} \leq C \frac{\nu_k}{k}, \quad (7)$$

i.e. *property goodness*, then

$$\exists C \geq 1 \forall t > 0: \quad \bar{\Gamma}_n(Ct) \leq \underline{\Gamma}_m(t). \quad (8)$$

Note: In general (8) does not imply (7) (we have a (counter)-example).

Counting functions and their technical problems III

But we will also need:

Lemma

Let M , N and L be weight sequences satisfying $m_k^{1/k} \rightarrow \infty$, $n_k^{1/k} \rightarrow \infty$ and $l_k^{1/k} \rightarrow \infty$ and such that

$\exists C \geq 1 \forall 1 \leq j \leq k : \frac{\nu_j}{j} \leq C \frac{\lambda_k}{k}$, i.e. **again property goodness**,
and

$$\exists C \geq 1 \forall j \in \mathbb{N} : \mu_{2j} \leq C \nu_j,$$

i.e. a **mixed moderate growth condition** between M and N (in Ch./Ch. '94 also (mg) was heavily used). Then

$$\exists D \geq 1 \forall t > 0 : 2\Gamma_l(Dt) \leq \Gamma_m(t).$$

First new result - concavity vs. strong log-convexity I

Proposition

Let σ be a weight function with $\sigma(t) = o(t)$ as $t \rightarrow +\infty$ and which is equivalent to a concave weight function. Let $\mathcal{S} = \{S^x : x > 0\}$ be the matrix associated with σ . Then

$$\forall x > 0 \exists A, B, C > 0 \forall k \in \mathbb{N}: A^{-1} s_k^{x/B} \leq \underline{s}_k^x \leq s_k^x \leq C^k \underline{s}_k^{Bx}.$$

Moreover we have

$$\exists H \geq 1 \forall x > 0 \forall j, k \in \mathbb{N}: \underline{s}_{j+k}^x \leq H^{j+k} \underline{s}_j^{2x} \underline{s}_k^{2x}.$$

Consequence: The matrix \mathcal{S} is equivalent to a matrix $\underline{\mathcal{S}} := \{\underline{S}^x = (k! \underline{s}_k^x)_k : x > 0\}$ consisting only of strongly log-convex sequences and $\underline{\mathcal{S}}$ has the **mixed moderate growth condition**.

First new result - concavity vs. strong log-convexity II

For the proof of this result we have used several preparations - most important:

- (*) For any weight function σ with $\sigma(t) = o(t)$ as $t \rightarrow +\infty$ and which is equiv. to a concave weight function, we have $\omega_{S^x} \sim \omega_{\underline{S}^x}$ for all $x > 0$.
- (*) This holds by $\sigma \sim \omega_{S^x}$ for all $x > 0$ and relating $\omega_{\underline{S}^x}$ to $(\omega_{S^x}^l)_*$, the so-called *lower Legendre conjugate (or envelope)* of $\omega_{S^x}^l$, writing $\omega_{S^x}^l(t) := \omega_{S^x}(1/t)$ and $(\omega_{S^x}^l)_*(t) := \inf_{u>0} \{\omega_{S^x}(1/u) + ut\}$.

Another technical problem

...replace in the proof of the main result \mathcal{S} by $\underline{\mathcal{S}}$ to avoid goodness....??? - Recall: We also need **another mixed moderate growth condition**:

$$\forall S \in \underline{\mathcal{S}} \exists T \in \underline{\mathcal{S}} \exists C \geq 1 \forall k \in \mathbb{N} : \frac{S_{2k}}{S_{2k-1}} \leq C \frac{T_k}{T_{k-1}}.$$

We have been not able to prove directly that $\underline{\mathcal{S}}$ has this property (for \mathcal{S} is follows by convexity of φ_σ^*).

Next new idea: Inspired by a result of Komatsu '73 (characterization of condition (mg) for M) we define for all $x > 0$ and $k \in \mathbb{N}$ a new matrix by

$$v_k^x := \min_{0 \leq j \leq k} \underline{s}_j^{2x} \underline{s}_{k-j}^{2x},$$

and set $\mathcal{V} := \{V^x = (k!v_k^x)_k : x > 0\}$.

Summarize

Proposition

- (*) Each v^x is log-convex resp. each V^x is strongly log-convex.
- (*) $2\Gamma_{\underline{s}^{2x}}(t) = \Gamma_{v^x}(t)$ for all $x > 0$ and $t > 0$.
- (*) There exists $H \geq 1$ such that for all $x > 0$ and $k \in \mathbb{N}$:

$$\underline{s}^x \leq H^k v_k^x \leq H^k \underline{s}_k^{2x}.$$

- (*) $\mathcal{B}\{\underline{s}\} = \mathcal{B}\{s\} = \mathcal{B}\{\sigma\} = \mathcal{B}\{\nu\}$ (and
 $\mathcal{B}(\underline{s}) = \mathcal{B}(s) = \mathcal{B}(\sigma) = \mathcal{B}(\nu)$) as locally convex VS

Main result - reformulated

Theorem

Let ω be a non-quasianalytic concave weight function.

Let σ be a weight function satisfying $\sigma(t) = o(t)$ as $t \rightarrow \infty$.

Then the following conditions are equivalent:

- (i) For every compact $E \subseteq \mathbb{R}^n$ the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\sigma\}}(E)$ is surjective.*
- (ii) There is $C > 0$ such that $\int_1^\infty \frac{\omega(tu)}{u^2} du \leq C\sigma(t) + C$ for all $t > 0$.*

Some comments on the NEW proof of the main result

- (*) Instead of working with $\mathcal{S} = \{S^x : x > 0\}$ directly, and which would require goodness, we are working with (equivalent!) matrices $\underline{\mathcal{S}}$ and \mathcal{V} (so more complicated notation).
- (*) But the idea of working with $\underline{\Gamma}_m$ and $\bar{\Gamma}_m$ becomes superfluous.
- (*) Let $F \in \mathcal{B}^{\{\sigma\}}(E)$ be a Whitney ultrajet, then $F \in \mathcal{B}^{\{V^x\}}(E)$ for some $x > 0$. The extension of F belonging to class $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$ will be given by

$$\sum_{i \in \mathbb{N}} \varphi_i(x) \cdot T_{\hat{x}_i}^{p(x_i)} F(x), \quad x \in \mathbb{R}^n \setminus E,$$

φ_i denoting the admissible partition of unity and where the counting function $p(\cdot)$ is defined in terms of $\Gamma_{\underline{s}^{2x}}(\cdot)$.

Consequence 1 - The case $\omega = \sigma$

Corollary

Let ω be a non-quasianalytic weight function, then TFAE:

- (i) For every compact $E \subseteq \mathbb{R}^n$ the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega\}}(E)$ is surjective.
- (ii) There is $C > 0$ such that $\int_1^\infty \frac{\omega(tu)}{u^2} du \leq C\omega(t) + C$ for all $t > 0$, i.e. ω is a strong weight.

Consequence 2 - The (mixed) weight sequence case

Theorem

Let M, N be weight sequences with $\mu \leq \nu$ and such that both satisfy (mg). Moreover assume that $\exists r > 0 \exists C \geq 1 \forall 1 \leq j \leq k$:

$$\frac{(\mu_j)^{1/r}}{j} \leq C \frac{(\mu_k)^{1/r}}{k}, \quad \frac{(\nu_j)^{1/r}}{j} \leq C \frac{(\nu_k)^{1/r}}{k}$$

and such that $\lim_{j \rightarrow +\infty} \frac{(\mu_j)^{1/r}}{j} = +\infty$. Then the following conditions are equivalent:

- (i) For every compact set $E \subseteq \mathbb{R}^n$ the jet mapping $j_E^\infty : \mathcal{B}_{\{N^{1/r}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}_{\{M^{1/r}\}}(E)$ is surjective.
- (ii) $\exists C > 0 \forall t \geq 0 : \int_1^\infty \frac{\omega_N(tu)}{u^{1+1/r}} du \leq C\omega_M(t) + C$.
- (iii) $\sup_{p \in \mathbb{N}_{>0}} \frac{(\mu_p)^{1/r}}{p} \sum_{k \geq p} \left(\frac{1}{\nu_k}\right)^{1/r} < +\infty$.



Notions of goodness

We have called ω **good**, if \mathcal{W} has the **good property** and asked:

- (*) Is every concave weight function (equivalent to) a good one?
- (*) Is every strong weight function (equivalent to) a good one?

It is more natural to ask on the weight matrix level for goodness.

ω will be called **R-good**, if there exists \mathcal{M} such that $\mathcal{B}^{\{\omega\}} = \mathcal{B}^{\{\mathcal{M}\}}$ and

$$\forall M \in \mathcal{M} \exists N \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : \frac{\mu_j}{j} \leq C \frac{\nu_k}{k}.$$

ω will be called **B-good**, if there exists \mathcal{M} such that $\mathcal{B}^{(\omega)} = \mathcal{B}^{(\mathcal{M})}$ and

$$\forall N \in \mathcal{M} \exists M \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : \frac{\mu_j}{j} \leq C \frac{\nu_k}{k}.$$

Both conditions are **mixed almost increasing properties**.



Motivated by a result from Javier Jiménez-Garrido and Javier Sanz '16 in the (single) weight sequence situation we can prove:

Lemma

Let $1 = \mu_0 \leq \mu_1 \leq \dots$ and $1 \leq \nu_0 \leq \nu_1 \leq \dots$ be given satisfying $\frac{\mu_j}{j} \leq C \frac{\nu_k}{k}$ for some $C \geq 1$ and all $1 \leq j \leq k$. Then

$$\frac{\tilde{\nu}_k}{k} := \inf_{l \geq k} \frac{\nu_l}{l}, \quad \tilde{\nu}_0 := 1,$$

satisfies $C^{-1}\mu \leq \tilde{\nu} \leq \nu$ and $k \mapsto \frac{\tilde{\nu}_k}{k}$ is increasing.

Consequence: Each weight matrix which has such a mixed almost increasing property can be replaced by an equivalent one which consists only of strongly log-convex sequences.

Main characterizing theorem

Theorem

Let ω be a weight function with $\omega(t) = o(t)$ as $t \rightarrow +\infty$. Then TFAE:

- (a) ω is equivalent to a concave function.
- (b) $\exists C \geq 1 \exists t_0 > 0 \forall \lambda \geq 1 \forall t \geq t_0 : \omega(\lambda t) \leq C\lambda\omega(t)$.
- (c) $\forall x > 0 \exists y > 0 \exists D \geq 1 \forall 1 \leq j \leq k : (w_j^x)^{1/j} \leq D(w_k^y)^{1/k}$.
- (d) $\forall x > 0 \exists y > 0 \exists D \geq 1 \forall 1 \leq j \leq k : (w_j^y)^{1/j} \leq D(w_k^x)^{1/k}$.
- (e) $\mathcal{B}^{\{\omega\}}$ is stable under composition, under inverse/implicit function theorem and solving ODE's.
- (f) $\mathcal{B}^{(\omega)}$ is stable under composition, under inverse/implicit function theorem and solving ODE's.



Main characterizing theorem - continuation

Theorem

Let ω be a weight function with $\omega(t) = o(t)$ as $t \rightarrow +\infty$. Then TFAE:

- (a) ω is equivalent to a concave function.
- (g) There does exist a weight matrix S such that each $S \in S$ is strongly log-convex and $\mathcal{B}^{\{\omega\}} = \mathcal{B}^{\{S\}}$.
- (h) There does exist a weight matrix S such that each $S \in S$ is strongly log-convex and $\mathcal{B}^{(\omega)} = \mathcal{B}^{(S)}$.
- (i) ω is R -good.
- (j) ω is B -good.

Currently work in progress: The above conditions are equivalent to the property that $\mathcal{B}^{\{\omega\}}$ resp. $\mathcal{B}^{(\omega)}$ can be described by almost analytic extensions (following and generalizing Dynkin '80).

The weight matrix Roumieu case

Theorem

Let $\mathcal{M} = \{M^x : x > 0\}$ be a weight matrix with $\liminf_k (m_k)^{1/k} > 0$ for all $M \in \mathcal{M}$ and such that for all $M \in \mathcal{M}$ there exists $D \geq 1$ and $N \in \mathcal{M}$ such that $M_{j+1} \leq D^{j+1} N_j$.

Consider

- (a) $\forall M \in \mathcal{M} \exists N \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : \frac{\mu_j}{j} \leq C \frac{\nu_k}{k},$
- (b) There does exist \mathcal{S} such that each $S \in \mathcal{S}$ is strongly log-convex and $\mathcal{B}^{\{\mathcal{M}\}} = \mathcal{B}^{\{\mathcal{S}\}}$.
- (c) $\mathcal{B}^{\{\mathcal{M}\}}$ has the stability properties.
- (d) $\forall M \in \mathcal{M} \exists N \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : (m_j)^{1/j} \leq C (n_k)^{1/k}.$

Then (a) \Leftrightarrow (b) \implies (c) \Leftrightarrow (d). We have \Leftarrow , if \mathcal{M} has the *strange growth property of Roumieu type*.

The weight matrix Beurling case

Theorem

Let $\mathcal{M} = \{M^x : x > 0\}$ be a weight matrix with $\lim_k (m_k)^{1/k} = +\infty$ for all $M \in \mathcal{M}$ and such that for all $M \in \mathcal{M}$ there exists $D \geq 1$ and $N \in \mathcal{M}$ such that $N_{j+1} \leq D^{j+1} M_j$.

Consider

- (a) $\forall M \in \mathcal{M} \exists N \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : \frac{\nu_j}{j} \leq C \frac{\mu_k}{k},$
- (b) There does exist \mathcal{S} such that each $S \in \mathcal{S}$ is strongly log-convex and $\mathcal{B}^{(\mathcal{M})} = \mathcal{B}^{(\mathcal{S})}$.
- (c) $\mathcal{B}^{(\mathcal{M})}$ has the stability properties.
- (d) $\forall M \in \mathcal{M} \exists N \in \mathcal{M} \exists C \geq 1 \forall 1 \leq j \leq k : (n_j)^{1/j} \leq C (m_k)^{1/k}.$

Then (a) \Leftrightarrow (b) \implies (c) \Leftrightarrow (d). We have \Leftarrow , if \mathcal{M} has the *strange growth property of Beurling type*.

Some open questions/problems I

- (*) Treat the Beurling case in the mixed setting as well!

Direct proof fails, reduction to the Roumieu case seems to be not easy!

We expect: The main result is also valid for this case (BMT '92 and L. '94 have already shown this but not by a reduction argument).

- (*) Characterize the "strange growth properties"

$(\frac{W_p^x}{W_{p-1}^x} \leq A(W_p^y)^{1/p})$ in terms of a (growth) property of ω .

Some open questions/problems II

- (*) We know that $\frac{\mu_j}{j} \leq C \frac{\nu_k}{k}$ does imply $\bar{\Gamma}_n(Ct) \leq \underline{\Gamma}_m(t)$ and the converse fails in general.

But maybe, having the mixed counting function property, one can change to an equivalent pair of weight sequences $\tilde{\mu}$ and $\tilde{\nu}$ satisfying $\frac{\tilde{\mu}_j}{j} \leq C \frac{\tilde{\nu}_k}{k}$?

We know: In the weight function world one can forget the mixed counting function property (provided ω is equiv. to a concave weight).

If the **answer is yes:** Then the mixed counting function property is completely superfluous.

If the **answer is no:** Then in the general weight matrix case one has a better (more general) condition available.

For this recent work see (also the literature citations therein):

Armin Rainer and G. S., On the extension of Whitney ultrajets II, submitted, available online at <https://arxiv.org/pdf/1808.10253.pdf>.

For the first approach in the mixed setting see:

Armin Rainer and G. S., On the extension of Whitney ultrajets, *Studia Math.* 245, no. 3, 2019, 255-287, available online at <https://arxiv.org/pdf/1709.00932.pdf>.