

The Kowalski-Słodkowski theorem as a pioneering result in the theory of 2-local automorphisms

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MINECO project no. MTM2014-58984-P

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Instituto Universitario de Matemática
Pura y Aplicada IUMPA
Valencia, May 27th, 2016

Local maps and the Gleason-Kahane-Zelazko theorem



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Our story begins in 1967-1968. During these years [A.M. Gleason](#) [*J. d'Anal. Math.*'1967] and [J.-P. Kahane and W. Żelazko](#) [*Studia Math.*'1968] established, independently, a fundamental result in the theory of Banach algebras.

[The Gleason-Kahane-Zelazko theorem]

Let A be a (non necessarily unital nor commutative) complex Banach algebra, and let $F : A \rightarrow \mathbb{C}$ be a continuous, non-zero, linear functional. The following are equivalent:

- (a) F is unital if A contains a unit or there exists a unital extension of F to the unitization on A , and $F(a) \neq 0$ whenever a is invertible in A ;
- (b) For each $a \in A$, $F(a)$ belongs to the spectrum, $\sigma(a)$, of a ;
- (c) F is multiplicative, that is $F(ab) = F(a)F(b)$, for every $a, b \in A$.

Local maps and the Gleason-Kahane-Zelazko theorem



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Lagrange's mean value theorem and the fundamental theorem of calculus assure that the mapping

$$F : C([0, 1], \mathbb{R}) \rightarrow \mathbb{R},$$

$$F(f) := \int_0^1 f(t) dt$$

satisfies statement (b) in the Gleason-Kahane-Zelazko theorem because for each f there exists $t_f \in [0, 1]$ satisfying $F(f) := \int_0^1 f(t) dt = f(t_f) \in \sigma(f)$. However F is not multiplicative.



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The real setting is not appropriate for our business!



Modern terminology.....



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A linear mapping T from a Banach algebra A into a Banach algebra B is said to be a *local homomorphism* if for every a in A there exists a homomorphism $\Phi_a : A \rightarrow B$, depending on a , satisfying $T(a) = \Phi_a(a)$.



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Given a Banach algebra A and a Banach A -bimodule X . Let me recall that a derivation from A into X is a linear map $D : A \rightarrow X$ satisfying

$$D(ab) = D(a)b + aD(b),$$

for every $a, b \in A$.



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Let me offer a unifying approach which valid for all definitions:

Local (linear) maps

Let \mathcal{S} be a subset of the space $L(X, Y)$ of all linear maps between Banach spaces X and Y . A linear map $T : X \rightarrow Y$ is called a *local \mathcal{S} map* if for each $x \in X$ there exists $S_x \in \mathcal{S}$, depending on x , such that $T(x) = S_x(x)$.

For $\mathcal{S} = \text{Der}(A, X)$ the set of all linear derivations from a Banach algebra into a Banach A -bimodule, we get the notion of *local derivation*. For $\mathcal{S} = \text{Hom}(A, B)$ the set of all linear homomorphisms from A into another Banach algebra B , local $\text{Hom}(A, B)$ are called *local homomorphisms*.



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Let me forewarn you that, in some extremal cases, the game finishes quickly. For $\mathcal{S} = \mathcal{F}(X, Y)$ the set all finite-rank operators between Banach spaces X and Y , **every** linear mapping $T : X \rightarrow Y$ is a local \mathcal{S} -map.

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Corollary [Gleason-Kahane-Zelazko, Studia'1968]

Every unital bounded linear local homomorphism from a unital complex Banach algebra A into \mathbb{C} is multiplicative.

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We can easily deduce from Gelfand theory:

Corollary

Let $T : A \rightarrow B$ be a bounded local homomorphism between C^* -algebras, where B is commutative. Then T is a Jordan $*$ -homomorphism.

This is just the beginning of the story!!!

- ✓ Every continuous local derivation from a von Neumann algebra M into a dual Banach M -bimodule is a derivation (R. Kadison, J. Algebra'1990).



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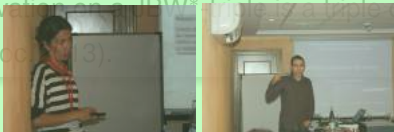
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The Functional Analysis awakens



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In a very recent contribution we explore what seems to be, a priori, a weaker notion.

Weak-local (linear) maps [Essaleh, Peralta, Ramirez, Lin. Mult. Algebra'2015]

Let \mathcal{S} be a subset of the space $L(X, Y)$ of all linear maps between Banach spaces X and Y . A linear map $T : X \rightarrow Y$ is called a *weak-local \mathcal{S} map* if for each $x \in X$ and every $\phi \in Y^*$, there exists $S_{x,\phi} \in \mathcal{S}$, depending on x and ϕ , such that $\phi T(x) = \phi S_{x,\phi}(x)$.

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We pay special attention to the cases in which \mathcal{S} is the set of all derivations, or the set of all $*$ -automorphisms on a C^* -algebra A . These linear maps are called, *weak-local derivations* and *weak-local $*$ -automorphisms*, respectively.



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Let X be a Banach A -bimodule on a Banach algebra A . We recall that a linear mapping $D : A \rightarrow X$ is a derivation if

$$D(ab) = D(a)b + aD(b),$$

for every $a, b \in A$.

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One of the most celebrated results due to Saad Al-Sayegh, answering a question posed by Kaplansky concerning the automatic continuity of derivations on C^* -algebras.



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Every weak-local derivation on a C^* -algebra A is continuous.

The proof is based on techniques developed for dissipative linear maps between Banach spaces applied by authors like Kadison, Ringrose and Kishimoto.



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Are derivations stable under weak-local perturbations?



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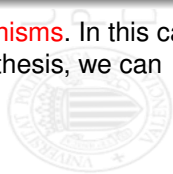
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The next natural goal is to consider **weak-local $*$ -automorphisms**. In this case we do not know the answer. However, under stronger hypothesis, we can throw some light into this problem.



Let us consider a more general notion:

Let X and Y be Banach spaces, and let τ be a locally convex topology on Y defined by a family of seminorms $\{\|\cdot\|_i : i \in I\}$. Let \mathcal{S} be a subset in $L(X, Y)$. A mapping $T \in L(X, Y)$ will be called a τ -local \mathcal{S} map if

for each $x \in X$ and each $i \in I$, there exists $T_{x,i} \in \mathcal{S}$, (1)

depending on x and i , such that $\|T(x) - T_{x,i}(x)\|_i = 0$.

We define in this way τ -local derivations and τ -local automorphisms among many other possible notions.



Is every weak-local $*$ -automorphism on a C^* -algebra or on a von Neumann algebra a Jordan $*$ -homomorphism?



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This is, for the moment, an open problem. However if we are allowed to replace the weak topology with a stronger topology our conclusions are much more better.



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Every strong-local $*$ -automorphism on a von Neumann algebra is a Jordan $*$ -homomorphism.

By a criterium established by Murnaghan in 1954, we know that every matrix in $M_2(\mathbb{C})$ is unitarily equivalent to its transpose, that is for each $a \in M_2(\mathbb{C})$ there exists a unitary matrix $u \in M_2(\mathbb{C})$ (depending on a) satisfying $u^* a u = a^t$. Consequently, the mapping

$$T : M_2(\mathbb{C}) \rightarrow M_2(\mathbb{C}), \quad T(a) = a^t,$$

is a linear local $*$ -homomorphism and a $*$ -anti-homomorphism which is not multiplicative. Therefore the above theorem is, in some sense, “optimal”.

Algebra Strikes Back: The Kowalski-Słodkowski theorem (a 2-local behaviour)



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In 1980, Kowalski and Słodkowski showed that at the cost of requiring a “local behavior at two points”, the hypothesis of linearity can be relaxed in the Gleason-Kahane-Żelazko theorem.

Theorem [Kowalski and Słodkowski, Studia'1980]

Suppose A is a complex Banach algebra (non necessarily commutative nor unital). Then every (non necessarily linear) mapping $T : A \rightarrow \mathbb{C}$ satisfying $T(0) = 0$ and $T(x) - T(y) \in \sigma(x - y)$, for every $x, y \in A$, is multiplicative and linear.

2-local maps

Let \mathcal{S} be a subset of the space $L(X, Y)$ of all linear maps between Banach spaces X and Y . A (non necessarily linear nor continuous) mapping $\Delta : X \rightarrow Y$ is said to be a *2-local \mathcal{S} map* if for every $x, y \in X$ there exists $T_{x,y} \in \mathcal{S}$, depending on x and y , such that

$$T_{x,y}(x) = \Delta(x), \text{ and } T_{x,y}(y) = \Delta(y).$$

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2-local derivations, 2-local $$ -derivations, 2-local homomorphisms, 2-local $*$ -homomorphisms, 2-local Jordan homomorphisms, and 2-local automorphisms* between Banach algebras and C^* -algebras are precisely 2-local \mathcal{S} maps when \mathcal{S} is the set of all derivations, $*$ -derivations, homomorphisms, $*$ -homomorphisms, Jordan homomorphisms, and automorphisms, respectively.

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If we take $\mathcal{S} = K(X, Y)$ every 1-homogeneous map $\Delta : X \rightarrow Y$ is a 2-local \mathcal{S} -map.



Theorem [Kowalski and Słodkowski, Studia'1980]

Every (non necessarily linear) 2-local homomorphism from a (non necessarily commutative nor unital) complex Banach algebra A into the complex field \mathbb{C} is linear and multiplicative. Consequently, every (non necessarily linear) 2-local homomorphism from A into a commutative C^* -algebra is linear and multiplicative.



Another contributions.....



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[Šemrl, Proc. Amer. Math. Soc.'1997]

Let H be an infinite-dimensional separable Hilbert space. Then every 2-local automorphism (respectively, every 2-local derivation) $T : B(H) \rightarrow B(H)$ is an automorphism (respectively, a derivation).

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In 2012, Ayupov and Kudaybergenov introduce new techniques to generalize Šemrl's theorem for arbitrary Hilbert spaces

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Let A be a C^* -algebra. A linear map $\delta : A \rightarrow A$ is said to be a triple derivation if

$$\delta\{a, b, c\} = \{\delta(a), b, c\} + \{a, \delta(b), c\} + \{a, b, \delta(c)\},$$

for every $a, b, c \in A$, where $\{a, b, c\} = \frac{1}{2}(ab^*c + cb^*a)$.

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[Kudaybergenov, Oikhberg, Peralta, Russo, Illinois J. Math.'2014]

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The definite conclusion for 2-local derivations on von Neumann algebras is due to the same authors.

[Ayupov and Kudaybergenov, Positivity'2014]

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Let A be a von Neumann algebra. A linear map $\delta : A \rightarrow A$ is said to be a triple derivation if

$$\delta(a, b, c) = \{\delta(a), b, c\} + \{a, \delta(b), c\} + \{a, b, \delta(c)\},$$

for every $a, b, c \in A$, where $\{a, b, c\} = \frac{1}{2}(ab^*c + cb^*a)$.



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Problem:

Let A and B be two C^* -algebras and let $T : A \rightarrow B$ be a non necessarily linear nor continuous 2-local $*$ -homomorphism. Is T linear and a $*$ -homomorphism?

Browsing into my memories, I remembered that “*linearity*” was exactly the goal in the Mackey-Gleason problem.



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Let $\mathcal{P}(M)$ denote the lattice of projections in a von Neumann algebra M , let X be a Banach space. A finitely additive, X -valued, bounded measure on $\mathcal{P}(M)$ is a mapping $\mu : \mathcal{P}(M) \rightarrow X$ such that

- (a) $\mu(e + f) = \mu(e) + \mu(f)$ whenever $ef = 0$;
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Clearly each bounded linear operator from M to X restricts to a finitely additive X -valued measure.

Mackey-Gleason Problem:

Let M be a von Neumann algebra having no direct summand of Type I_2 . Is every finitely additive X -valued bounded measure on M the restriction of a bounded linear operator from M into X ?

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Let M be a von Neumann algebra with no direct summand of Type I_2 . Then, for each Banach space X , each X -valued, finitely additive, bounded measure on $\mathcal{P}(M)$ has a unique extension to a bounded linear operator from M to X .



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$$T\left(\sum_{i=1}^n \lambda_i p_i\right) = \sum_{i=1}^n \lambda_i T(p_i),$$

for every set $\{p_1, \dots, p_n\}$ of mutually orthogonal projections in M and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$.

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T is orthogonally additive, that is, $T(a + b) = T(a) + T(b)$ whenever $a \perp b$ in M .

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The case of $M_2(\mathbb{C})$ must be treated independently because the Mackey-Gleason theorem cannot be applied.



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A new hope: weak-2-local symmetric maps

Let X and Y be Banach spaces, and let τ be a locally convex topology on Y defined by a family of seminorms $\{\|\cdot\|_i : i \in I\}$. Let \mathcal{S} be a subset in $B(X, Y)$. A mapping $\Delta : X \rightarrow Y$ will be called a τ -2-local \mathcal{S} map if

for each $x, y \in X$ and each $i \in I$, there exists $T_{x,y,i} \in \mathcal{S}$, (2)

depending on x, y and i , such that

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More on JB*-triples, Jordan theory and completely additive measures



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[Hamhalter, Kudaybergenov, Peralta, Russo, J. Math. Phys.'2016]

Every (non necessarily linear nor continuous) 2-local triple derivation on a continuous JBW^* -triple is a triple derivation.