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Banach spaces with the Daugavet property

(joint papers with Vladimir Kadets, Nigel Kalton, Miguel Martín, Enrique Sánchez et al.)

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Dirk Werner Freie Universität Berlin

Valencia; April 7, 2011





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 $C[0, 1], L_1[0, 1], L_{\infty}[0, 1], A(\mathbb{D}), H^{\infty}, Lip(K) \ (K \subset \mathbb{R}^d \text{ convex}), type II \text{ von}$ Neumann algebras and their preduals, . . .



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More generally: C(K) for a compact Hausdorff space K without isolated points; $L_1(\mu)$ and $L_{\infty}(\mu)$ for a non-atomic measure μ .



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More generally: C(K) for a compact Hausdorff space K without isolated points; $L_1(\mu)$ and $L_{\infty}(\mu)$ for a non-atomic measure μ .

Counterexamples

 c_0 , ℓ_1 , ℓ_∞ , $L_p(\mu)$ for 1 , <math>Lip(K) ($K \subset \mathbb{R}^d$ compact and not convex), type I von Neumann algebras and their preduals, ...





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- For all ||x₀|| = 1, ε > 0 and all slices S of the unit ball B_X there exists some z ∈ S such that

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• For all $||x_0|| = 1$ and $\varepsilon > 0$, the convex hull of $\{z \in B_X : ||z - x_0|| \ge 2 - \varepsilon\}$ is dense in B_X .



If X has the Daugavet property, then ||Id + T|| = 1 + ||T|| for all weakly compact operators T.

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If X has the Daugavet property, then ||Id + T|| = 1 + ||T|| for all strong Radon-Nikodym operators T.

T is a strong Radon-Nikodym operator if the closure of $T(B_X)$ has the Radon-Nikodym property.



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Example

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Theorem

If X has the Daugavet property, then ||Id + T|| = 1 + ||T|| for all l_1 -singular operators T.

T is called l_1 -singular if *no* restriction of T to any copy of l_1 is an (into-) isomorphism, i.e., bounded below.





Unconditional bases

Definition

A Schauder basis of a Banach space X is a sequence $e_1, e_2, ...$ in X so that every element $x \in X$ can *uniquely* be represented by an infinite series $x = \sum_{k=1}^{\infty} \alpha_k e_k$.



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Even more, a separable Banach space with the Daugavet property does not even embed into a space with an unconditional basis.



A linear operator $T: X \to W$ is called narrow if for all $||x_0|| = 1$, $\varepsilon > 0$, all slices *S* of the unit ball B_X and all $y_0 \in S$ there exists some $z \in S$ such that

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Suppose U is a closed subspace of a Banach space X with the Daugavet property.

• If *U* is rich, then *U* has the Daugavet property as well.

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Suppose U is a closed subspace of a Banach space X with the Daugavet property.

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- If *X*/*U* is reflexive (or just has the RNP), then *U* is rich. In particular, finite-codimensional subspaces are rich.

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- If *X*/*U* is reflexive (or just has the RNP), then *U* is rich. In particular, finite-codimensional subspaces are rich.
- If $(X/U)^*$ is separable, then U is rich.



Two questions of A. Pełczyński



There is a Banach space X with the Daugavet property that fails to contain a copy of $L_1[0, 1]$. Indeed, X can be chosen to be of the form $L_1[0, 1]/(U_1 \oplus_1 U_2 \oplus_1 \cdots)$ with each U_n isomorphic to ℓ_1 .



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Question 2: What if U only has the RNP?



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Corollary

For the restriction map $R_A: L_1[0, 1] \rightarrow L_1(A)$, the set $R_A(B_U)$ is nowhere dense if $U \subset L_1[0, 1]$ is poor.

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There exists a subspace $U \subset L_1[0, 1]$ that is isomorphic to ℓ_1 but not poor. Consequently, for some subspace $V \subset L_1[0, 1]$ with the RNP, $L_1[0, 1]/V$ fails the Daugavet property.

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The almost Daugavet property



X has the almost Daugavet property if there exists a norming subspace $Y \subset X^*$ such that

$$\| \mathsf{Id} + T \| = 1 + \| T \|$$

for all operators $T: X \to X$ of the form $Tx = y_0^*(x)x_0, y_0^* \in Y$.



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 ℓ_1 has the almost Daugavet property, but not the Daugavet property.



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Theorem

The following are equivalent for a separable Banach space X:

- X has the almost Daugavet property.
- There exists a sequence (e_n^*) in X^* that is isometrically equivalent to the unit vector basis of l_1 and such that $lin \{e_k^* : k \ge n\}$ is norming for every n.



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The numerical range of an operator



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 $V(T) = \{ \langle Tx, x \rangle \colon \|x\| = 1 \}.$



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- X Hilbert space: V(T) is convex (Toeplitz/Hausdorff 1918/1919).
- X Banach space: in general, V(T) is not convex.
- $\overline{V(T)}$ contains the convex hull of the spectrum of *T* (Wintner 1929; Crabb 1969),



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• Let X be a Banach space and $T: X \rightarrow X$ a linear operator. The numerical range of T is

$$V(T) = \{x^*(Tx): x \in X, x^* \in X^*, \|x\| = \|x^*\| = x^*(x) = 1\}.$$

Some properties

- X Hilbert space: V(T) is convex (Toeplitz/Hausdorff 1918/1919).
- X Banach space: in general, V(T) is not convex.
- $\overline{V(T)}$ contains the convex hull of the spectrum of T (Wintner 1929; Crabb 1969), with equality for normal operators on a Hilbert space (Stone 1931, Berberian 1964).





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The best constant $k \ge 0$ in the inequality

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k||T|| \le v(T) \le ||T|| für alle T: X \to X
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Examples

The numerical index of an \mathbb{R} -Hilbert space is 0, the numerical index of a \mathbb{C} -Hilbert space is 1/2,

Dirk Werner, Banach spaces with the Daugavet property, 7.4.2011 4 미 > 4 🗇 > 4 볼 > 4 볼 > 볼 · · 의 식 (* 14/18



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The duality problem for the numerical index





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 ???



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Lemma

n(X) = 1 if and only if $\max_{\pm} \| \operatorname{Id} \pm T \| = 1 + \|T\|$ for all $T: X \to X$.



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Note:

Daugavet property $\neq n(X) = 1$ (e.g. $X = C([0, 1], \mathbb{R}^2))$; $n(X) = 1 \neq$ Daugavet property (e.g. $X = c_0$).



A (real) Banach space X is called lush if for all $||x_0|| = 1$, $||y_0|| = 1$ and $\varepsilon > 0$ there exists an ε -slice S containing x_0 such that dist $(y_0, \operatorname{co}(S \cup -S)) \le \varepsilon$.

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Proposition

Every lush space has numerical index 1.



The solution of the duality problem



Let $X = \{f \in C[0, 2]: f(0) + f(1) + f(2) = 0\}$. Then n(X) = 1, but $n(X^*) \le 1/2$.



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Theorem

There is a real Banach space with n(X) = 1, but $n(X^*) = 0$.





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All contributions are welcome!