

Characterising perturbations of isomorphisms between operator algebras through spectral inclusions

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joint work with J. Alaminos and J. Extremera

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25/01/2011
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Part I

Seminal results: characterising
multiplicativity through spectral
inclusions

Ancient results coming from matrix theory

Theorem (G. Frobenius (1897))

Let $n \in \mathbb{N}$. A linear map $\Phi: \mathbb{M}_n \rightarrow \mathbb{M}_n$ satisfies the property

$$\det(\Phi(M)) = \det(M) \quad (M \in \mathbb{M}_n)$$

if and only if there are invertible matrices $P, Q \in \mathbb{M}_n$ with $\det(PQ) = 1$ such that either

$$\Phi(M) = PMQ \quad (M \in \mathbb{M}_n)$$

or

$$\Phi(M) = PM^tQ \quad (M \in \mathbb{M}_n),$$

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if and only if $\Phi = W\Psi$ for some automorphism or anti-automorphism Ψ of the Banach algebra \mathbb{M}_n and some invertible matrix $W \in \mathbb{M}_n$ with $\det W = 1$.

Theorem (J. Dieudonné (1949))

Let $n \in \mathbb{N}$. A bijective linear map $\Phi: \mathbb{M}_n \rightarrow \mathbb{M}_n$ satisfies the property

$$M \in \mathbb{M}_n, \det(M) = 0 \Rightarrow \det(\Phi(M)) = 0$$

if and only if there are invertible matrices $P, Q \in \mathbb{M}_n$ such that either

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Theorem (M. Marcus and R. Purves (1959))

Let $n \in \mathbb{N}$. A linear map $\Phi: \mathbb{M}_n \rightarrow \mathbb{M}_n$ satisfies the property

$$sp(\Phi(M)) = sp(M) \quad (M \in \mathbb{M}_n)$$

if and only if there is an invertible matrix $P \in \mathbb{M}_n$ such that either

$$\Phi(M) = PMP^{-1} \quad (M \in \mathbb{M}_n)$$

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if and only if Φ is either an automorphism or an anti-automorphism of the Banach algebra \mathbb{M}_n .

Beyond finite-dimensionality

Theorem (A. M. Gleason (1967), J. P. Kahane and W. Żelazko (1968))

Let A be a complex Banach algebra and let $\varphi: A \rightarrow \mathbb{C}$ be a linear functional. Then φ is multiplicative (and nonzero) if (and only if)

$$\varphi(a) \in sp(a) \quad (a \in A).$$

Kaplansky's problem

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Identify the multiplicative linear maps among all linear maps, between complex Banach algebras A and B , in terms of spectra.

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Gleason-Kahane-Żelazko condition

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for a linear map $\varphi: A \rightarrow B$, into the property

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Shrinking the spectrum

$$\text{sp}(\Phi(a)) \subset \text{sp}(a) \quad (a \in A).$$

I. Kaplansky (1970)

Let A and B be complex Banach algebras and let $\Phi: A \rightarrow B$ be a linear map with the property that

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Is it true that Φ is a Jordan homomorphism, i.e.

$$\Phi(a^2) = \Phi(a)^2 \quad (a \in A) ?$$

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B. Aupetit (2000)

Let A and B be semisimple complex Banach algebras and let $\Phi: A \rightarrow B$ be a surjective linear map with the property that

$$\text{sp}(\Phi(a)) = \text{sp}(a) \quad (a \in A).$$

Is it true that Φ is a Jordan homomorphism?

Results in operator algebras

Theorem (A. A. Jafarian and A. R. Sourour (1986))

Let X and Y be complex Banach spaces and let $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ be a surjective linear map with the property that

$$\text{sp}(\Phi(T)) = \text{sp}(T) \quad (T \in \mathcal{B}(X)).$$

Then Φ has the form $\Phi(T) = STS^{-1}$ ($T \in \mathcal{B}(X)$) for some isomorphism $S: X \rightarrow Y$ or $\Phi(T) = RT^*R^{-1}$ ($T \in \mathcal{B}(Y)$) for some isomorphism $R: X^* \rightarrow Y$.

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Theorem (A. R. Sourour (1996))

Let X and Y be Banach spaces, let A and B be unital standard operator algebras on X and Y , respectively, and let $\Phi: A \rightarrow B$ be a linear map. Then Φ has the form $\Phi(T) = STS^{-1}$ ($T \in A$) for some isomorphism $S: X \rightarrow Y$ or $\Phi(T) = RT^*R^{-1}$ ($T \in A$) for some isomorphism $R: X^* \rightarrow Y$ in either of the following cases:

- 1 the map Φ is bijective and $\text{sp}(\Phi(T)) \subset \text{sp}(T)$ for each $T \in A$, or
- 2 the map Φ is surjective and $\text{sp}(\Phi(T)) = \text{sp}(T)$ for each $T \in A$.

Linear maps preserving some parts of the spectrum

- left spectrum
- right spectrum
- approximate point spectrum
- surjectivity spectrum
- boundary of the spectrum

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Linear maps preserving some spectral quantities

- spectral radius
- essential spectral radius
- minimum modulus
- reduced minimum modulus

Part II

Introducing the problem

Approximate Gleason-Kahane-Żelazko theorem

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B. E. Johnson (1986) replaced

Gleason-Kahane-Żelazko condition

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$$\text{dist}(\varphi(\mathbf{a}), \text{sp}(\mathbf{a})) < \varepsilon \quad (\mathbf{a} \in A, \|\mathbf{a}\| = 1)$$

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Theorem (B. E. Johnson (1986))

Let A be a commutative Banach algebra. Then for each $\varepsilon > 0$ there is $\delta > 0$ such that if $\varphi: A \rightarrow \mathbb{C}$ is a linear functional with

$$\text{dist}(\varphi(a), \text{sp}(a)) < \delta \quad (a \in A, \|a\| = 1),$$

then

$$\sup\{|\varphi(ab) - \varphi(a)\varphi(b)| : a, b \in A, \|a\| = \|b\| = 1\} < \varepsilon.$$

AMNM algebras

A Banach algebra A is **AMNM** if for each $\varepsilon > 0$ there is $\delta > 0$ such that if φ is a linear functional on A with

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then $\|\varphi - \psi\| < \varepsilon$ for some multiplicative linear functional ψ on A .

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Examples

- 1 B. E. Johnson (1986): $C_0(\Omega)$ for each locally compact Hausdorff space Ω , $L^1(G)$ for each locally compact abelian group G , $\ell^1(\mathbb{Z}^+)$, $L^1(]0, +\infty[)$, $A(\mathbb{D})$.
- 2 R. A. J. Howey (2003): $C^n([0, 1])$ for each $n \in \mathbb{N}$ and certain Banach algebras of Lipschitz functions.
- 3 B. E. Johnson (1988): all finite-dimensional and all amenable Banach algebras. In particular, the group algebra $L^1(G)$ for each amenable group.
- 4 Properly infinite unital Banach algebras. In particular $\mathcal{B}(X)$ for each Banach space X which contains a complemented subspace isomorphic to $X \oplus X$.

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- 2 Identify the perturbed multiplicative linear maps among all linear maps, between complex Banach algebras A and B , in terms of spectra.

Part III

Celebrities from this pattern of thinking

Replacing the spectra: the pseudospectra

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ε -pseudospectrum of $a \in A$

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Applications

- atmospheric science
- control theory
- ecology
- hydrodynamic stability
- lasers
- magnetohydrodynamics
- Markov chains
- non-hermitian quantum mechanics
- numerical solutions of odes/pdes
- rounding error analysis

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Our condition

$$\varphi(a) \in \text{sp}_\varepsilon(a) \quad (a \in A, \|a\| = 1).$$

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Approximate preserving condition

$$\text{dist}_H(\text{sp}(\Phi(a)), \text{sp}(a)) < \varepsilon \quad (a \in A, \|a\| = 1).$$

Measuring multiplicativity

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Standard problem

Determine whether $\text{mult}(\Phi)$ being small implies $\text{dist}(\Phi, \text{Hom}(A, B))$ being small. Similar question for $\text{amult}(\Phi)$.

Part IV

Our results

Theorem

Let A be a unital Banach algebra. Then the following assertions hold.

- 1 For each $\varepsilon, \nu > 0$ there is $\delta > 0$ such that if φ is a linear functional on A with

$$\varphi(a) \in \text{sp}_\delta(a) \quad (a \in A, \|a\| = 1),$$

then $\text{mult}(\varphi) < \varepsilon$ and $\|\varphi\| > \nu$.

- 2 For each $\varepsilon, \nu > 0$ there is $\delta > 0$ such that if φ is a linear functional on A with $\text{mult}(\varphi) < \delta$ and $\|\varphi\| > \nu$, then

$$\varphi(a) \in \text{sp}_\varepsilon(a) \quad (a \in A, \|a\| = 1).$$

Maps approximately shrinking the spectrum

Theorem

Let X and Y be superreflexive Banach spaces. Then the following assertions hold.

- ① For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with

$$\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T) \quad (T \in \mathcal{B}(X), \|T\| = 1)$$

and $\|\Phi\|, \|\Phi^{-1}\| < K$, then

$$\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon.$$

- ② For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with

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and $\|\Phi\|, \|\Phi^{-1}\| < K$, then

$$\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T) \quad (T \in \mathcal{B}(X), \|T\| = 1).$$

Question

Is it possible to remove the superreflexivity from the spaces X and Y in the theorem? Which conditions on the Banach spaces X and Y imply that the theorem still work?

Theorem

Let H be a separable Hilbert space. Then the following assertions hold.

- ① For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$ is a bijective linear map with

$$\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T) \quad (T \in \mathcal{B}(H), \|T\| = 1)$$

and $\|\Phi\|, \|\Phi^{-1}\| < K$, then

$$\|\Phi - \Psi\| < \varepsilon$$

for some automorphism or anti-automorphism $\Psi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$.

- ② For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$ is a continuous linear map with $\|\Phi\| < K$ and

$$\|\Phi - \Psi\| < \delta$$

for some automorphism or anti-automorphism $\Psi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$, then

$$\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T) \quad (T \in \mathcal{B}(H), \|T\| = 1).$$

Question

Does the theorem still work with H replaced by a superreflexive Banach space X ? Which conditions on the Banach space X imply that the theorem remain valid with H replaced by X ?

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Theorem

Let X and Y be superreflexive Banach spaces. Then for each $k, K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a surjective linear map with

$$\text{dist}_H(\text{sp}(\Phi(T)), \text{sp}(T)) < \delta \quad (T \in \mathcal{B}(X), \|T\| = 1),$$

$\kappa(\Phi) > k$, and $\|\Phi\| < K$, then

$$\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon.$$

The **surjectivity modulus** of $\Phi \in \mathcal{B}(\mathfrak{X}, \mathfrak{Y})$ is defined by

$$\kappa(T) = \sup \{ \varrho \geq 0 : \varrho \mathbb{B}_{\mathfrak{Y}} \subset \Phi(\mathbb{B}_{\mathfrak{X}}) \}.$$

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for some automorphism or anti-automorphism $\Psi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$.

Question

Does the theorem still work with H replaced by a superreflexive Banach space X ? Which conditions on the Banach space X imply that the theorem remain valid with H replaced by X ?

Part V

Method of proof

The main tool: ultrapowers

Let \mathcal{U} be a free ultrafilter on \mathbb{N} and let \mathfrak{X} be a Banach space. Then the **ultrapower** of \mathfrak{X} with respect to \mathcal{U} is the Banach space

$$\mathfrak{X}^{\mathcal{U}} = \ell^{\infty}(\mathfrak{X}) / \mathfrak{N}_{\mathcal{U}},$$

where $\mathfrak{N}_{\mathcal{U}} := \{x \in \ell^{\infty}(\mathfrak{X}) : \lim_{\mathcal{U}} \|x_n\| = 0\}$. The norm on $\mathfrak{X}^{\mathcal{U}}$ is given by

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There is an isometric linear map $\mathcal{B}(\mathfrak{X}, \mathfrak{Y})^{\mathcal{U}} \rightarrow \mathcal{B}(\mathfrak{X}^{\mathcal{U}}, \mathfrak{Y}^{\mathcal{U}})$ which is defined by

$$\mathbf{T}(\mathbf{x}) = (T_n(x_n)) \quad (\mathbf{T} = (T_n) \in \mathcal{B}(\mathfrak{X}, \mathfrak{Y})^{\mathcal{U}}, \mathbf{x} = (x_n) \in \mathfrak{X}^{\mathcal{U}}).$$

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where $\mathfrak{N}_{\mathcal{U}} := \{x \in \ell^{\infty}(\mathfrak{X}) : \lim_{\mathcal{U}} \|x_n\| = 0\}$. The norm on $\mathfrak{X}^{\mathcal{U}}$ is given by

$$\|\mathbf{x}\| = \lim_{\mathcal{U}} \|x_n\| \quad (\mathbf{x} = (x_n) \in \mathfrak{X}^{\mathcal{U}}).$$

There is an isometric linear map $\mathcal{B}(\mathfrak{X}, \mathfrak{Y})^{\mathcal{U}} \rightarrow \mathcal{B}(\mathfrak{X}^{\mathcal{U}}, \mathfrak{Y}^{\mathcal{U}})$ which is defined by

$$\mathbf{T}(\mathbf{x}) = (T_n(x_n)) \quad (\mathbf{T} = (T_n) \in \mathcal{B}(\mathfrak{X}, \mathfrak{Y})^{\mathcal{U}}, \mathbf{x} = (x_n) \in \mathfrak{X}^{\mathcal{U}}).$$

There is a canonical map $(\mathfrak{X}^*)^{\mathcal{U}} \rightarrow (\mathfrak{X}^{\mathcal{U}})^*$ given by

$$\langle \mathbf{f}, \mathbf{x} \rangle = \lim_{\mathcal{U}} \langle f_n, x_n \rangle \quad (\mathbf{f} = (f_n) \in (\mathfrak{X}^*)^{\mathcal{U}}, \mathbf{x} = (x_n) \in \mathfrak{X}^{\mathcal{U}}).$$

This map is an isometry, and so we identify $(\mathfrak{X}^*)^{\mathcal{U}}$ with a closed subspace of $(\mathfrak{X}^{\mathcal{U}})^*$. It is known that $(\mathfrak{X}^*)^{\mathcal{U}} = (\mathfrak{X}^{\mathcal{U}})^*$ if and only if the Banach space \mathfrak{X} is superreflexive.

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$) and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon$.

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Suppose the assertion fails to be true. Then there exist $\tau > 0$ and a sequence (Φ_n) of bijective linear maps from $\mathcal{B}(X)$ onto $\mathcal{B}(Y)$ with the properties that

$$\text{sp}(\Phi_n(T)) \subset \text{sp}_{1/n}(T) \quad (T \in \mathcal{B}(X), \|T\| = 1),$$

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$$\text{mult}(\Phi_n), \text{amult}(\Phi_n) \geq \tau.$$

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$) and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon$.

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Then the map $\Phi = (\Phi_n): \mathcal{B}(X)^\mathcal{U} \subset \mathcal{B}(X^\mathcal{U}) \rightarrow \mathcal{B}(Y)^\mathcal{U} \subset \mathcal{B}(Y^\mathcal{U})$ is a continuous bijective linear map.

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Φ is either a homomorphism or an anti-homomorphism.

$$\tau \leq \liminf_{\mathcal{U}} \min\{\text{mult}(\Phi_n), \text{amult}(\Phi_n)\} =$$

$$\min\left\{\liminf_{\mathcal{U}} \text{mult}(\Phi_n), \liminf_{\mathcal{U}} \text{amult}(\Phi_n)\right\} = \min\{\text{mult}(\Phi), \text{amult}(\Phi)\} = 0.$$

For each $k, K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a surjective linear map with $\text{dist}_H(\text{sp}(\Phi(T)), \text{sp}(T)) < \delta$ ($T \in \mathcal{B}(X), \|T\| = 1$), $\kappa(\Phi) > k$, and $\|\Phi\| < K$, then $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon$.

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$$\sup_{\|T\|} \text{dist}_H(\text{sp}(\Phi(T)), \text{sp}(T)) \rightarrow 0,$$

$$\kappa(\Phi_n) > k, \|\Phi_n\| < K,$$

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$$\text{sp}(\Phi(\mathbf{T})) = \text{sp}(\mathbf{T}) \quad (\mathbf{T} = (T_n) \in \mathcal{B}(X)^\mathcal{U}).$$

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For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$ is a bijective linear map with $\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T)$ ($T \in \mathcal{B}(H), \|T\| = 1$) and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\|\Phi - \Psi\| < \varepsilon$ for some automorphism or anti-automorphism $\Psi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$.

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Let A and B be Banach algebras and let $\Phi \in \mathcal{B}(A, B)$ such that $\|\Phi - \Psi\| < \varepsilon$ for some continuous homomorphism or anti-homomorphism $\Psi: A \rightarrow B$. Then it is straightforward to check that

$$\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} \leq (1 + \varepsilon + 2\|\Phi\|)\varepsilon.$$

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$ is a bijective linear map with $\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T)$ ($T \in \mathcal{B}(H), \|T\| = 1$) and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\|\Phi - \Psi\| < \varepsilon$ for some automorphism or anti-automorphism $\Psi: \mathcal{B}(H) \rightarrow \mathcal{B}(H)$.

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The **AMNM** problem is concerned with the question of whether the constant $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\}$ being small implies Φ is near an homomorphism or anti-homomorphism $\Psi: A \rightarrow B$:

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for each $K, \varepsilon > 0$ is there $\delta > 0$ such that if $\Phi \in \mathcal{B}(A, B)$ with $\|\Phi\| < K$ and $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \delta$ then $\|\Phi - \Psi\| < \varepsilon$ for some continuous homomorphism or anti-homomorphism $\Psi: A \rightarrow B$?

Examples

The answer is affirmative in any of the following cases.

- 1 The Banach algebras A and B are finite-dimensional.
- 2 The Banach algebra A is finite-dimensional and semisimple and B is any Banach algebra.
- 3 The Banach algebra A is amenable and B is a two-sided ideal of a dual Banach algebra C in the sense that there is a Banach C -bimodule C_* so that C is isomorphic as a C -bimodule with $(C_*)^*$. As a matter of fact, this applies to the pairs:
 - $(L^1(G_1), M(G_2))$ and $(L^1(G_1), L^1(G_2))$ for each amenable group G_1 and each locally compact group G_2 ,
 - $(\mathcal{K}(H_1), \mathcal{B}(H_2))$ and $(\mathcal{K}(H_1), \mathcal{K}(H_2))$ for all Hilbert spaces H_1 and H_2 .
- 4 $(\mathcal{B}(H), \mathcal{B}(H))$ for each separable Hilbert space H .

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \delta$ and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$).

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Suppose the assertion is false. Then there exist $\tau > 0$, a sequence (Φ_n) of bijective linear maps from $\mathcal{B}(X)$ onto $\mathcal{B}(Y)$, and (T_n) in $\mathcal{B}(X)$ such that

$$\lim \min\{\text{mult}(\Phi_n), \text{amult}(\Phi_n)\} = 0,$$

$$\|\Phi_n\|, \|\Phi_n^{-1}\| < K,$$

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$$\text{sp}(\Phi_n(T_n)) \not\subset \text{sp}_\tau(T_n)$$

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \delta$ and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$).

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We now consider the bijective continuous linear map

$$\Phi = (\Phi_n): \mathcal{B}(X)^\mathcal{U} \subset \mathcal{B}(X^\mathcal{U}) \rightarrow \mathcal{B}(Y)^\mathcal{U} \subset \mathcal{B}(Y^\mathcal{U}).$$

and $\mathbf{T} = (T_n) \in \mathcal{B}(X)^\mathcal{U}$.

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \delta$ and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$).

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and $\mathbf{T} = (T_n) \in \mathcal{B}(X)^\mathcal{U}$.

Φ is either an isomorphism or an anti-isomorphism.

For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ is a bijective linear map with $\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \delta$ and $\|\Phi\|, \|\Phi^{-1}\| < K$, then $\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T)$ ($T \in \mathcal{B}(X), \|T\| = 1$).

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Φ is either an isomorphism or an anti-isomorphism. Hence $\text{sp}(\Phi(\mathbf{T})) = \text{sp}(\mathbf{T})$ and this implies that for each $\varepsilon > 0$ there is $n \in \mathbb{N}$ with $\text{sp}(\Phi_n(T_n)) \subset \text{sp}_\varepsilon(T_n)$.

Part VI

Concluding remarks

Theorem

Let $\mathcal{A}_1(X)$ and $\mathcal{A}_2(Y)$ be unital standard operator algebras on superreflexive Banach spaces X and Y . Then the following assertions hold.

- ① For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{A}_1(X) \rightarrow \mathcal{A}_2(Y)$ is a bijective linear map with

$$\text{sp}(\Phi(T)) \subset \text{sp}_\delta(T) \quad (T \in \mathcal{A}_1(X), \|T\| = 1)$$

and $\|\Phi\|, \|\Phi^{-1}\| < K$, then

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- ② For each $K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{A}_1(X) \rightarrow \mathcal{A}_2(Y)$ is a bijective linear map with

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$$\text{sp}(\Phi(T)) \subset \text{sp}_\varepsilon(T) \quad (T \in \mathcal{A}_1(X), \|T\| = 1).$$

Theorem

Let $\mathcal{A}_1(X)$ and $\mathcal{A}_2(Y)$ be unital standard operator algebras on superreflexive Banach spaces X and Y . Then for each $k, K, \varepsilon > 0$ there is $\delta > 0$ such that if $\Phi: \mathcal{A}_1(X) \rightarrow \mathcal{A}_2(Y)$ is a surjective linear map with

$$\text{dist}_H(\text{sp}(\Phi(T)), \text{sp}(T)) < \delta \quad (T \in \mathcal{A}_1(X), \|T\| = 1),$$

$\kappa(\Phi) > k$, and $\|\Phi\| < K$, then

$$\min\{\text{mult}(\Phi), \text{amult}(\Phi)\} < \varepsilon.$$

Question

Let $\mathcal{A}_1(H)$ and $\mathcal{A}_2(H)$ be unital standard operator algebras on a separable Hilbert space H . Is the pair $(\mathcal{A}_1(H), \mathcal{A}_2(H))$ AMNM?

Quantitative estimates

Approximate Gleason-Kahane-Żelazko theorem

- $\varphi(\mathbf{a}) \in \text{sp}_\varepsilon(\mathbf{a})$ ($\mathbf{a} \in \mathbf{A}, \|\mathbf{a}\| = 1$) \Rightarrow
$$\text{mult}(\varphi) \leq \frac{4(1+\varepsilon)}{\log(1/\varepsilon)} \left(1 + \frac{18}{(\log 2)^2} \right) + \frac{\varepsilon(1+\varepsilon)^2}{1-\varepsilon}.$$
- Either $\|\varphi\| \leq \frac{\text{mult}(\varphi)}{1-\text{mult}(\varphi)^{1/2}}$ or $\varphi(\mathbf{a}) \in \text{sp}_\varepsilon(\mathbf{a})$ ($\mathbf{a} \in \mathbf{A}, \|\mathbf{a}\| = 1$) with
$$\varepsilon = \frac{2\text{mult}(\varphi) \left(3 + \sqrt{1 + 4\text{mult}(\varphi)} \right) + \text{mult}(\varphi)^{1/2} \left(3 + \sqrt{1 + 4\text{mult}(\varphi)} \right)^2}{4 \left(1 - \text{mult}(\varphi)^{1/2} \right)}.$$

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$$\varepsilon = \frac{2\text{mult}(\varphi) \left(3 + \sqrt{1 + 4\text{mult}(\varphi)} \right) + \text{mult}(\varphi)^{1/2} \left(3 + \sqrt{1 + 4\text{mult}(\varphi)} \right)^2}{4 \left(1 - \text{mult}(\varphi)^{1/2} \right)}.$$

Maps approximately shrinking/preserving the spectrum

?